

## FORM SHEET FOR UPDATING INFORMATION FOR LEGAL ENTITIES AND ASSIMILATED CATEGORIES

Hereby, I ask you to update the identification information of the legal entity and its representatives, as follows:

1	Client name	
2	Legal form	
3	Client code	
4	Sole registration number/Fiscal identification number	
5	Phone	
6	E-mail	
7	Headquarter address	
8	Mailing address (if different than the headquarter address)	
9	Authorization/license needed for performing the object of activity (only for entities that carry out activities in production/sale of weapons/ammunition and activities in gambling field (betting and casinos))	
10	Main object of activity	
11	Do you develop one of the following activities?	<input type="checkbox"/> YES <input type="checkbox"/> NO arms/munition production/trade <input type="checkbox"/> YES <input type="checkbox"/> NO adult entertainment (video chat, sex shop, night club/striptease, etc.) <input type="checkbox"/> YES <input type="checkbox"/> NO virtual currency transitioning
12	Estimated transactional volume (EUR equivalent/month) :	<input type="checkbox"/> ≤10.000; <input type="checkbox"/> 10.001-500.000; <input type="checkbox"/> 500.001-3.000.000; <input type="checkbox"/> >3.000.000
13	Company with bearer shares	<input type="checkbox"/> YES <input type="checkbox"/> NO
14	Offshore company	<input type="checkbox"/> YES <input type="checkbox"/> NO
15	Trust*	<input type="checkbox"/> YES <input type="checkbox"/> NO
	* Trust (fiducia) - means the temporary transfer of some rights or the property right over some goods, from an individual or an entity - the settlor - towards another person named trustee, in order for the goods or rights thus transferred to be managed in the interest of a "beneficial".	
16	Nature and business relationship purpose:	

<p>Cards acceptance <input type="checkbox"/></p> <p>Value box <input type="checkbox"/></p> <p>Saving <input type="checkbox"/></p> <p>Financing <input type="checkbox"/></p>	<p>Guarantees and letters of credit <input type="checkbox"/></p> <p>Investments <input type="checkbox"/></p> <p>Current operations <input type="checkbox"/></p>	<p>Salaries payments <input type="checkbox"/></p> <p>Foreign exchange <input type="checkbox"/></p>
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<b>17</b>	<b>Source of funds:</b>			
	Independent activities <input type="checkbox"/>	Agricultural incomes <input type="checkbox"/>	Incomes from sale, services <input type="checkbox"/>	
	Incomes according to the declared activity <input type="checkbox"/>			

**18 Group of connected clients**

In the quality of Client representative, I declare on my own responsibility that, according to NBR Regulation no 5/2013 on prudential requirements for credit institutions, the entity:

is not part of a group of connected clients;

is part of a group of connected clients, consisting of:

Legal entity/assimilated category/entity denomination/ Name and surname of the natural person	Sole registration number /Personal code number	Group affiliation manner (to be filled-in according to the points a, b, c, d, e below)

In order to determine the groups of connected clients, the following situations will be considered alternatively or cumulatively:

- a) close connections - two or more natural or legal entities are connected between them by a participation, representing the direct possession or by controlling of 20% or more from the voting rights or the capital of an entity;
- b) by the fact that both or all these persons are durable connected to each other and to the same third person by control (e.g.: the same management, the relationship between the mother company and its branches, etc.);
- c) direct commercial interdependence, that cannot be substituted within a short term;
- d) are members of the same family (up to the second degree);
- e) the relationships between the debtor clients and co-debtor clients/its guarantors (mortgagers/guarantors, aso).

We hereby bind to notify the bank any amendment in the legal entity's/assimilated category's/entity's/individual's status regarding the affiliation to one or more connected groups of clients, within a term of maximum 5 working days from the occurrence.

The bank reserves the right to identify and classify "the group of connected customers" based on its own analyzes and regulations.

<b>19</b>	<p><b>Fiscal compliance statement*</b></p> <p>Acting for and behalf of the applicant, the undersigned, as a representative, declare that the applicant is:</p> <p><input type="checkbox"/> a public authority/authority assimilated to public authorities/state-owned companies;</p> <p><input type="checkbox"/> an active non-financial institution;</p> <p><input type="checkbox"/> a passive non-financial institution;</p> <p><input type="checkbox"/> a financial institution</p> <p>having its fiscal residence country ....., fiscal identification code/TIN/NIF .....</p> <p><small>*this declaration is fulfilled only by non-resident entities or by entities that have at least one ultimate non-resident beneficiary</small></p>
<b>20</b>	<p><b>Deposits Guarantee</b></p> <p>I declare that the informing related to the deposit guarantee scheme on which the bank is part of, as well as the categories of deposits excluded from guarantee has been realized by OTP Bank Romania SA, inclusively through „Form sheet for the information provided to depositors” that I acknowledged, filled in and signed.</p>

**REPRESENTATIVES WITH AUTHORISED SIGNATURE:**

1. Name \_\_\_\_\_ Surname \_\_\_\_\_  
 Date of birth \_\_\_\_\_ Place of birth \_\_\_\_\_  
 Personal Code Number: \_\_\_\_\_ Citizenship \_\_\_\_\_  
 Address \_\_\_\_\_  
 Address type:  Domicile  Residence (valid for Romanian citizens who temporary live in other place than their domicile)  Residence (temporary address of foreign citizens who do not have domicile in Romania)  
 Identity document \_\_\_\_\_ Series and number \_\_\_\_\_  
 Issued by \_\_\_\_\_ Issuing date \_\_\_\_\_ Expiry date \_\_\_\_\_  
 Phone \_\_\_\_\_ E-mail \_\_\_\_\_  
 I declare:  I hold  I don't hold the quality of PEP (Public Exposed Person)  
 Public position held \_\_\_\_\_

2. Name \_\_\_\_\_ Surname \_\_\_\_\_  
 Date of birth \_\_\_\_\_ Place of birth \_\_\_\_\_  
 Personal Code Number: \_\_\_\_\_ Citizenship \_\_\_\_\_  
 Address \_\_\_\_\_  
 Address type:  Domicile  Residence (valid for Romanian citizens who temporary live in other place than their domicile)  Residence (temporary address of foreign citizens who do not have domicile in Romania)  
 Identity document \_\_\_\_\_ Serial and number \_\_\_\_\_  
 Issuing date by \_\_\_\_\_ Issuing date \_\_\_\_\_ Expiry date \_\_\_\_\_  
 Phone \_\_\_\_\_ E-mail \_\_\_\_\_  
 I declare:  I hold  I don't hold the quality of PEP (Public Exposed Person)  
 Public position held \_\_\_\_\_

3. Name \_\_\_\_\_ Surname \_\_\_\_\_  
 Date of birth \_\_\_\_\_ Place of birth \_\_\_\_\_  
 Personal Code Number: \_\_\_\_\_ Citizenship \_\_\_\_\_  
 Address \_\_\_\_\_  
 Address type:  Domicile  Residence (valid for Romanian citizens who temporary live

in other place than their domicile)  Residence (temporary address of foreign citizens who do not have domicile in Romania)

Identity document \_\_\_\_\_ Serial and number \_\_\_\_\_

Issued by \_\_\_\_\_ Issuing date \_\_\_\_\_ Expiry date \_\_\_\_\_

Phone \_\_\_\_\_ E-mail \_\_\_\_\_

I declare:  I hold  I don't hold the quality of PEP (Public Exposed Person)

Public position held \_\_\_\_\_

4. Name \_\_\_\_\_ Surname \_\_\_\_\_

Date of birth \_\_\_\_\_ Place of birth \_\_\_\_\_

Personal Code Number: \_\_\_\_\_ Citizenship \_\_\_\_\_

Address \_\_\_\_\_

Address type:  Domicile  Residence (valid for Romanian citizens who temporary live in other place than their domicile)  Residence (temporary address of foreign citizens who do not have domicile in Romania)

Identity document \_\_\_\_\_ Serial and number \_\_\_\_\_

Issued by \_\_\_\_\_ Issuing date \_\_\_\_\_ Expiry date \_\_\_\_\_

Phone \_\_\_\_\_ E-mail \_\_\_\_\_

I declare:  I hold  I don't hold the quality of PEP (Public Exposed Person)

Public position held \_\_\_\_\_

5. Name \_\_\_\_\_ Surname \_\_\_\_\_

Date of birth \_\_\_\_\_ Place of birth \_\_\_\_\_

Personal Code Number: \_\_\_\_\_ Citizenship \_\_\_\_\_

Address \_\_\_\_\_

Address type:  Domicile  Residence (valid for Romanian citizens who temporary live in other place than their domicile)  Residence (temporary address of foreign citizens who do not have domicile in Romania)

Identity document \_\_\_\_\_ Serial and number \_\_\_\_\_

Issued by \_\_\_\_\_ Issuing date \_\_\_\_\_ Expiry date \_\_\_\_\_

Phone \_\_\_\_\_ E-mail \_\_\_\_\_

I declare:  I hold  I don't hold the quality of PEP (Public Exposed Person)

Public position held \_\_\_\_\_

**INFORMATION REGARDING THE REAL BENEFICIARY OWNER/PERSON IN CONTROL:**

1. Name \_\_\_\_\_ Surname \_\_\_\_\_

Fiscal residence country/countries \_\_\_\_\_

Fiscal residence address \_\_\_\_\_

Personal Code Number/Tax identification number (sole identification code) \_\_\_\_\_

Public Exposed Person (PEP): NO  YES  Public position held \_\_\_\_\_

Citizenship country \_\_\_\_\_ Date of birth \_\_\_\_\_ Origin country \_\_\_\_\_

Profession \_\_\_\_\_ Identity document \_\_\_\_\_ Series \_\_\_\_\_ No. \_\_\_\_\_

Issued by \_\_\_\_\_

Issuing date \_\_\_\_\_ Validity date \_\_\_\_\_

Address: City \_\_\_\_\_, street \_\_\_\_\_, no \_\_\_\_\_,

bl \_\_\_\_\_, entrance \_\_\_\_\_, flat \_\_\_\_\_, county (district) \_\_\_\_\_,

Residence country \_\_\_\_\_

Address type  Domicile  Residence(Resedinta)  Resident(Rezidenta)  Correspondence

The nature of relationship that generated this quality:

shareholder

associate

- leader
- constitutor
- fiduciary
- protector
- trust beneficiary

2. Name \_\_\_\_\_ Surname \_\_\_\_\_  
 Fiscal residence country/countries \_\_\_\_\_  
 Fiscal residence address \_\_\_\_\_  
 Personal Code Number/Tax identification number (sole identification code) \_\_\_\_\_  
 Public Exposed Person (PEP): NO  YES  Public position held \_\_\_\_\_  
 Citizenship country \_\_\_\_\_ Date of birth \_\_\_\_\_ Origin country \_\_\_\_\_  
 Profession \_\_\_\_\_ Identity document \_\_\_\_\_ Series \_\_\_\_\_ No. \_\_\_\_\_  
 Issued by \_\_\_\_\_  
 Issuing date \_\_\_\_\_ Validity date \_\_\_\_\_  
 Address: City \_\_\_\_\_, street \_\_\_\_\_, no \_\_\_\_\_,  
 bl \_\_\_\_\_, entrance \_\_\_\_\_, flat \_\_\_\_\_, county (district) \_\_\_\_\_,  
 Residence country \_\_\_\_\_  
 Address type  Domicile  Residence(Resedinta)  Resident(Rezidenta)  Correspondence  
 The nature of relationship that generated this quality:  
 shareholder  
 associate  
 leader  
 constitutor  
 fiduciary  
 protector  
 trust beneficiary

3. Name \_\_\_\_\_ Surname \_\_\_\_\_  
 Fiscal residence country/countries \_\_\_\_\_  
 Fiscal residence address \_\_\_\_\_  
 Personal Code Number/Tax identification number (sole identification code) \_\_\_\_\_  
 Public Exposed Person (PEP): NO  YES  Public position held \_\_\_\_\_  
 Citizenship country \_\_\_\_\_ Date of birth \_\_\_\_\_ Origin country \_\_\_\_\_  
 Profession \_\_\_\_\_ Identity document \_\_\_\_\_ Series \_\_\_\_\_ No. \_\_\_\_\_  
 Issued by \_\_\_\_\_  
 Issuing date \_\_\_\_\_ Validity date \_\_\_\_\_  
 Address: City \_\_\_\_\_, street \_\_\_\_\_, no \_\_\_\_\_,  
 bl \_\_\_\_\_, entrance \_\_\_\_\_, flat \_\_\_\_\_, county (district) \_\_\_\_\_,  
 Residence country \_\_\_\_\_  
 Address type  Domicile  Residence(Resedinta)  Resident(Rezidenta)  Correspondence  
 The nature of relationship that generated this quality:  
 shareholder  
 associate  
 leader  
 constitutor  
 fiduciary  
 protector  
 trust beneficiary

4. Name \_\_\_\_\_ Surname \_\_\_\_\_  
 Fiscal residence country/countries \_\_\_\_\_

Fiscal residence address \_\_\_\_\_  
 Personal Code Number/Tax identification number (sole identification code) \_\_\_\_\_  
 Public Exposed Person (PEP): NO  YES  Public position held \_\_\_\_\_  
 Citizenship country \_\_\_\_\_ Date of birth \_\_\_\_\_ Origin country \_\_\_\_\_  
 Profession \_\_\_\_\_ Identity document \_\_\_\_\_ Series \_\_\_\_\_ No. \_\_\_\_\_  
 Issued by \_\_\_\_\_  
 Issuing date \_\_\_\_\_ Validity date \_\_\_\_\_  
 Address: City \_\_\_\_\_, street \_\_\_\_\_, no \_\_\_\_\_,  
 bl \_\_\_\_\_, entrance \_\_\_\_\_, flat \_\_\_\_\_, county (district) \_\_\_\_\_,  
 Residence country \_\_\_\_\_

Address type  Domicile  Residence(Resedinta)  Resident(Rezidenta)  Correspondence

The nature of relationship that generated this quality:

- shareholder
- associate
- leader
- constitutor
- fiduciary
- protector
- trust beneficiary

**AUTHORISED CONTACT PERSON IN RELATIONSHIP WITH THE BANK:**

Name \_\_\_\_\_ Surname \_\_\_\_\_  
 Position \_\_\_\_\_ Date of birth \_\_\_\_\_ Place of birth \_\_\_\_\_  
 Personal Code Number: \_\_\_\_\_  
 Citizenship \_\_\_\_\_  
 Address: \_\_\_\_\_  
 Identity document \_\_\_\_\_ Series and number \_\_\_\_\_  
 Issued by \_\_\_\_\_ Issuing date \_\_\_\_\_ Validity date \_\_\_\_\_  
 Phone \_\_\_\_\_ E-mail: \_\_\_\_\_  
 I declare:  I hold  I don't hold the quality of PEP (Public Exposed Person)  
 Public position held \_\_\_\_\_

**STATEMENTS:**

1. We declare on our own responsibility that the information delivered in the current form sheet are true and complete and we authorize the Bank to make investigations related to the information presented in the form sheet.
2. We acknowledge the provisions of the General Business Conditions for legal entities and assimilated categories and we agree to fully respect them.
3. As Client representative(s), we declare that we read, we acknowledged and we have accepted all the provisions of the current document.
4. This document is concluded in 2 (two) originals.

**Client representatives**  
**Stamp mark (optional)**

**Signature**

**Bank representatives**  
**Stamp mark**

**Signature**

Date.....